



ATWATER | MALICK

investments in partnership

Privacy Notice (Updated January 2026)

At Atwater Malick, LLC we respect your privacy and are committed to protecting your "Nonpublic Personal Information" (NPI). This notice explains how we handle your data and the steps we take to keep it secure.

Information We Collect

While providing investment advisory services, we collect information about you from the following sources:

- Information from you: Name, address, Social Security Number, income, and investment objectives (from your Advisory Agreement and general Advisor information gathering).
- Information about your transactions: Account balances, positions, and trade history (from your custodian or brokerage statements).

How We Use and Share Your Information

We do not sell your information to anyone. We only share your NPI with non-affiliated third parties in the following limited circumstances:

- To service your account: Sharing data with your custodian (e.g., Schwab, Fidelity) or technology providers (e.g., your reporting portal) to process transactions and maintain your account.
- As required by law: Responding to subpoenas, audits, or regulatory inquiries (e.g., SEC or state exams).
- With your consent: Sharing data with your CPA, attorney, or other designated professionals at your request.

How We Protect Your Information

We maintain strict administrative, technical, and physical safeguards to protect your data:

- Access Limits: Only employees who need your information to perform their jobs are granted access.
- Technology: We use encryption, Multi-Factor Authentication (MFA), and secure firewalls to protect our digital systems.
- Disposal: We shred paper documents and utilize secure "wiping" for electronic media when records are no longer required to be kept.

Your Right to Opt-Out

Because we only share NPI for essential business purposes (and do not share it for marketing), there are no "opt-out" rights applicable to our current practices. If our sharing policy changes in the future, we will provide you with a revised notice and the opportunity to opt-out.

If you have questions about our privacy policy, please contact our Chief Compliance Officer at 717-400-1505 or info@atwatermalick.com.